

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448**Reporting Dates:** 11/13/2014 to 11/30/2014 **Compliance Officer:** Sheela Kadambi**Recalling Firm:** Daesung Celtic Enersys **Product:** Water Heaters**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>442</u>	<u>442</u>
With Distributor/dealer:	<u>Unknown</u>	<u>358</u>	<u>358</u>
With Consumers:	<u>27,594</u>	<u>0*</u>	<u>0*</u>
<b>TOTAL:</b>	<u>28,717</u>		<u>800</u>

\* 794 repair kits shipped to consumers for in-home repair by service contractor technicians.

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>1 (unconfirmed/pending confirmation)</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0Email: # 0Regular Mail: # 5,383

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 1,199Email: # 181Regular Mail: # 0Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 1,806

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_Twitter: # # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_Other: # Explain: \_\_\_\_\_Ad Placements: # Explain: \_\_\_\_\_Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000001****EXHIBIT D**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448

**Reporting Dates:** 12/1/2014 to 12/31/2014 **Compliance Officer:** Sheela Kadambi  
**Recalling Firm:** Daesung Celtic Enersys **Product:** Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>130</u>	<u>572</u>
With Distributor/dealer:	<u>740*</u>	<u>382</u>	<u>740</u>
With Consumers:	<u>27,212</u>	<u>1,464</u>	<u>1,464</u>
<b>TOTAL:</b>	<u>28,717</u>		<u>2,776</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>4**</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 9

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 3,782  
 Email: # 446  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 2,342

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

\*\*These were reported incidents involving unrepaired units; damage occurred to the units only; units will be replaced under warranty.

**Daesung-000002**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448**Reporting Dates:** 1/1/2015 to 1/31/2015 **Compliance Officer:** Sheela Kadambi**Recalling Firm:** Daesung Celtic Enersys **Product:** Water Heaters**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0*</u>	<u>765</u>
With Distributor/dealer:	<u>882*</u>	<u>142</u>	<u>882**</u>
With Consumers:	<u>27,212</u>	<u>2,674</u>	<u>4,138</u>
<b>TOTAL:</b>	<u>28,717</u>		<u>5,785</u>

\* 442 corrections in Nov.; 323 in December

\*\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>4</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0Email: # 0Regular Mail: # 0\*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 2,150Email: # 428Regular Mail: # 0Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 1,467

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # # of shares:  # of Likes: Twitter: # # of Re-Tweets:  # of Twitter Followers: Other: # Explain: Ad Placements: # Explain: Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000003**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448**Reporting Dates:** 2/1/2015 to 2/28/2015 **Compliance Officer:** Sheela Kadambi**Recalling Firm:** Daesung Celtic Enersys **Product:** Water Heaters**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>946*</u>	<u>64</u>	<u>946*</u>
With Consumers:	<u>27,212</u>	<u>765</u>	<u>4,903</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>829</u>	<u>6,614</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall Under review
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0Email: # 0Regular Mail: # 0\*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 1,585Email: # 192Regular Mail: # 0Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 790

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # # of shares:  # of Likes: Twitter: # # of Re-Tweets:  # of Twitter Followers: Other: # Explain: Ad Placements: # Explain: Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000004**

## CPSC Monthly Progress Report for Corrective Action Plans (CAP)

Case Number: RP140448

Reporting Dates: 3/1/2015 to 3/31/2015 Compliance Officer: Sheela Kadambi  
 Recalling Firm: Daesung Celtic Enersys Product: Water Heaters

## I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>974*</u>	<u>28</u>	<u>974</u>
With Consumers:	<u>27,212</u>	<u>661</u>	<u>5,564</u>
TOTAL:	<u>28,717</u>	<u>689</u>	<u>7,303</u>

\* Estimate

## II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>13</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

## III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0\*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 926  
 Email: # 88  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 469

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No - Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:  
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000005

## CPSC Monthly Progress Report for Corrective Action Plans (CAP)

Case Number: RP140448

Reporting Dates: 4/1/2015 to 4/30/2015 Compliance Officer: Sheela Kadambi  
 Recalling Firm: Daesung Celtic Enersys Product: Water Heaters

## I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>246</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>501</u>	<u>6,065</u>
TOTAL:	<u>28,717</u>	<u>747</u>	<u>8,050</u>

\* Estimate

## II) INCIDENT UPDATE

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>2</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

## III) Notifications Made by Firm and Consumer Response as Applicable under CAP

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0\*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 559  
 Email: # 68  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 78

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

Daesung-000006

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number: RP140448

Reporting Dates: 5/1/2015 to 5/31/2015 Compliance Officer: Sheela Kadambi  
 Recalling Firm: Daesung Celtic Enersys Product: Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>340</u>	<u>6,405</u>
TOTAL:	<u>28,717</u>	<u>340</u>	<u>8,390</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0\*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 335  
 Email: # 46  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? #55

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No - Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000007**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448

**Reporting Dates:** 6/1/2015 to 6/30/2015 **Compliance Officer:** Sheela Kadambi  
**Recalling Firm:** Daesung Celtic Energys **Product:** Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>502</u>	<u>6,907</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>502</u>	<u>8,892</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0\*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 223  
 Email: # 36  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 143

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000008**



**CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number: RP140448

Reporting Dates: 8/1/2015 to 8/31/2015 Compliance Officer: Sheela Kadambi  
 Recalling Firm: Daesung Celtic Enersys Product: Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>83</u>	<u>7,498</u>
TOTAL:	<u>28,717</u>	<u>83</u>	<u>9,483</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>1</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0Email: # 0Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 192Email: # 22Regular Mail: # 0Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 170

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # # of shares: # of Likes:Twitter: # # of Re-Tweets: # of Twitter Followers:Other: # Explain: \_\_\_\_\_Ad Placements: # Explain: \_\_\_\_\_Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000009**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448

**Reporting Dates:** 9/1/2015 to 9/30/2015 **Compliance Officer:** Sheela Kadambi  
**Recalling Firm:** Daesung Celtic Enersys **Product:** Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>22</u>	<u>7,520</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>22</u>	<u>9,505</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>2</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0\*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 212  
 Email: # 23  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 145

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000010**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448

**Reporting Dates:** 10/1/2015 to 10/31/2015 **Compliance Officer:** Sheela Kadambi  
**Recalling Firm:** Daesung Celtic Energys **Product:** Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>78</u>	<u>6,437</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>78</u>	<u>8,422**</u>

\* Estimate

\*\* Reflects corrections from previous months' tabulations.

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0\*

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 284  
 Email: # 64  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 257

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000011**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448**Reporting Dates:** 11/1/2015 to 11/30/2015 **Compliance Officer:** Sheela Kadambi**Recalling Firm:** Daesung Celtic Energys **Product:** Water Heaters**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>78</u>	<u>6,515</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>78</u>	<u>8,500</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>2</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0Email: # 0Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 297Email: # 21Regular Mail: # 0Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 231

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # # of shares: # of Likes: \_\_\_\_\_Twitter: # # of Re-Tweets: # of Twitter Followers: \_\_\_\_\_Other: # Explain: \_\_\_\_\_Ad Placements: # Explain: \_\_\_\_\_Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000012**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448

**Reporting Dates:** 12/1/2015 to 12/31/2015 **Compliance Officer:** Sheela Kadambi  
**Recalling Firm:** Daesung Celtic Energys **Product:** Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>310</u>	<u>6,825</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>310**</u>	<u>8,810</u>

\* Estimate

\*\* Reflects adjustment from previous month.

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0Email: # 0Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 262Email: # 32Regular Mail: # 0Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 269

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_Other: # \_\_\_\_\_ Explain: \_\_\_\_\_Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at:  
jsmith@cpsc.gov or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000013**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448

**Reporting Dates:** 1/1/2016 to 1/31/2016 **Compliance Officer:** Sheela Kadambi  
**Recalling Firm:** Daesung Celtic Energys **Product:** Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>91</u>	<u>6,916</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>91</u>	<u>8,901</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 322  
 Email: # 40  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 293

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000014**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)****Case Number:** RP140448

**Reporting Dates:** 2/1/2016 to 2/29/2016 **Compliance Officer:** Sheela Kadambi  
**Recalling Firm:** Daesung Celtic Enersys **Product:** Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>95</u>	<u>7,011</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>95</u>	<u>8,996</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 261  
 Email: # 40  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes, If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 269

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # # of shares:  # of Likes:   
 Twitter: # # of Re-Tweets:  # of Twitter Followers:   
 Other: # Explain:   
 Ad Placements: # Explain:

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000015**

**CPSC Monthly Progress Report for Corrective Action Plans (CAP)**Case Number: RP140448

## FINAL REPORT

Reporting Dates: 3/1/2016 to 3/31/2016 Compliance Officer: Sheela Kadambi  
 Recalling Firm: Daesung Celtic Enersys Product: Water Heaters

**I) PRODUCTS CORRECTED BY FIRM AS APPLICABLE UNDER CAP**

Location of Products	Total Products Affected by Recall	Corrections this Reporting Period	Total Cumulative Corrections for Recall
With Importer:	<u>765</u>	<u>0</u>	<u>765</u>
With Distributor/dealer:	<u>1,220*</u>	<u>0</u>	<u>1,220</u>
With Consumers:	<u>26,732*</u>	<u>138</u>	<u>7,149</u>
<b>TOTAL:</b>	<u>28,717</u>	<u>138</u>	<u>9,134</u>

\* Estimate

**II) INCIDENT UPDATE**

	Total Incidents Reported this Period that Occurred <u>Before</u> the Recall	Total Incidents Reported this Period that Occurred <u>After</u> the Recall
Incidents	<u>40</u>	<u>0</u>
Injuries	<u>0</u>	<u>0</u>
Death	<u>0</u>	<u>0</u>

**III) Notifications Made by Firm and Consumer Response as Applicable under CAP**

How many consumers did you notify this reporting period by:

Phone: # 0  
 Email: # 0  
 Regular Mail: # 0

How many consumers contacted your Firm this reporting period about the recall by as a result of any notification:

Phone: # 177  
 Email: # 27  
 Regular Mail: # 0

Is the recall currently posted on your homepage? Yes If no, explain: \_\_\_\_\_How many website hits did your Firm have this reporting period for this recall? # 185

As applicable under your CAP, how many times in this reporting period did your Firm post the recall notice on the following?

Facebook: # \_\_\_\_\_ # of shares: \_\_\_\_\_ # of Likes: \_\_\_\_\_  
 Twitter: # \_\_\_\_\_ # of Re-Tweets: \_\_\_\_\_ # of Twitter Followers: \_\_\_\_\_  
 Other: # \_\_\_\_\_ Explain: \_\_\_\_\_  
 Ad Placements: # \_\_\_\_\_ Explain: \_\_\_\_\_

Recalling companies are encouraged to monitor on line re-sale, auction, and wholesale websites. If monitored, did you find the recalled product on any sites ☐ Yes / ☒ No – Describe action taken: \_\_\_\_\_

NOTE: Email or Fax completed form by the FIRST of EACH MONTH to Judy Smith, Recall Coordinator, at: [jsmith@cpsc.gov](mailto:jsmith@cpsc.gov) or 301-504-0359. If you have questions, contact your Compliance Officer.

Updated 10/2014

**Daesung-000016**